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ATTORNEY DOCKET NO.: **60-06 US (T01098-0007-US; 191695-328579)**  
CUSTOMER NUMBER: **000293**

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

In re patent application of:

**ANDRZEJ, Barwicz et al.**

Serial No.: **09/964,509** ✓ Group Art Unit: **2871**  
Filed: **09/28/2001** Examiner: **Michael Caley**  
Title: **METHOD OF EXTRACTING SPECTRAL PARAMETER OF CHANNELS  
FROM NON CHANNELISED LIGHT**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER MAILING  
DATE OF FINAL ACTION, NOTICE OF ALLOWANCE OR ACTION THAT CLOSES  
PROSECUTION BUT BEFORE PAYMENT OF ISSUE FEE (37 C.F.R. § 1.97(d))**

Dear Sir:

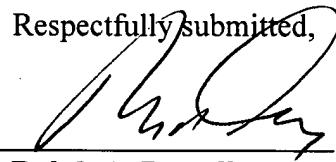
The information disclosure statement transmitted herewith is being filed *after* a final action under § 1.113, but before the payment of the issue fee.

In accordance with the requirements of 37 C.F.R. § 1.97(d):

Accompanying this transmittal is a statement, as specified in 37 C.F.R. § 1.97(e).

Applicant submits the petition fee set forth in § 1.17(p).

Date: 1/29/04

Respectfully submitted,  


**Ralph A. Dowell**  
Registration No. 26,868  
Agent for Applicant

**Dowell & Dowell, P.C.**  
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ATTORNEY DOCKET NO.: **14426 (T01098-0007-US; 191695-328579)**  
CUSTOMER NUMBER: **000293**

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Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith.

The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability, or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

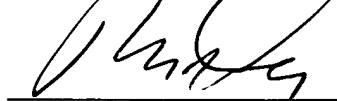
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Respectfully submitted,



**Ralph A. Dowell**  
Registration No. 26,868  
Agent for Applicant

Date: 1/29/04

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**STATEMENT FOR INFORMATION DISCLOSURE UNDER  
37 C.F.R. § 1.97(e)**

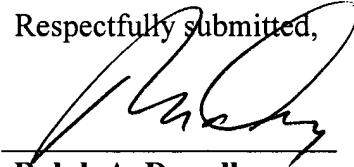
Dear Sir:

This statement is being made for the Information Disclosure Statement accompanying this statement.

I, the person signing below, state that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

I, the person making this statement, am the practitioner who signs below on the basis of information supplied by an individual designated in § 1.56(c).

Date: 1/29/04

Respectfully submitted,  


**Ralph A. Dowell**  
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Agent for Applicant

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 14426

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
.		GB	2324667	A	10-28-1998	Nippon Electric Co.		
.		GB	2334641	A	08-25-1999	Nortel Networks Corp.		
.		EP	0942265	A	09-15-1999	Lucent Technologies Inc.		
.		WO	9967609	A	12-29-1999	HENDOW, Sami T.		
.		KR	2000031647	A	06-05-2000	Korea Electronics & Telecom		
.		WO	0122624	A	03-29-2001	Avanex Corporation		
.		WO	9957834		11-11-1999	Unversity of Maryland Balti		
.		GB	0107112.5		terminated	Bookham Technology plc		
.		GB	0109656.9		terminated	Bookham Technology plc		
.		GB	0021240.7		terminated	Bookham Technology plc		

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.